

23



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Joseph A. GRUNDFEST et al.

Application No.: 09/718,097

Filed: March 19, 2001

For: SYSTEM AND METHOD FOR  
FACILITATING TRANSACTION  
PROCESSING AND DISPOSITION  
WITHIN AN ACCESS  
CONTROLLED ENVIRONMENT  
VIA A GLOBAL NETWORK SUCH  
AS THE INTERNET

Group Art Unit: 2681

Examiner: To Be Determined

**TRANSMITTAL LETTER FOR NOTICE TO FILE MISSING PARTS FOR A  
NONPROVISIONAL APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice To File Missing Parts of Nonprovisional  
Application dated June 13, 2001, enclosed please find:

- ☒ Total additional claim fees for this application \$1,624.00;
- ☒ Combined Declaration and Power of Attorney;
- ☒ Late Filing Fee for Declaration \$65.00;
- ☒ an executed Declaration Claiming Small Entity Status;
- ☒ a Petition for Extension of Time (4 months) \$720.00;
- ☐ a verified English translation of the Application, and the \$130.00 fee as set forth  
in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and the \$40.00 Assignment Recording Fee;
- ☐ other: Submission of Revised Drawings;
- ☒ a check in the amount of \$2,409.00 for the fee due;

**Transmittal Letter for Missing Parts of Application**  
**Application No. 09/718,097**  
**Attorney's Docket No. 064.001**  
**Page 2**

The Commissioner is hereby authorized to contact the undersigned if additional fees are deemed due or overpayment is made. This paper is submitted in triplicate.

Respectfully submitted,

**ERIK B. CHERDAK & ASSOCIATES, LLC**

By: 

Erik B. Cherdak  
Registration No. 39,936

11300 Rockville Pike, Suite 906  
Rockville, Maryland 20852  
(301) 984-4700

Date: December 13, 2001



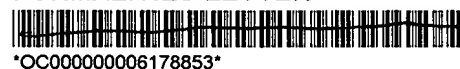
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/718,097	11/22/2000	Joseph A. Grundfest	064.0001

CONFIRMATION NO. 9708

## FORMALITIES LETTER



\*OC000000006178853\*

Erik B. Cherdak & Associates, LLC  
Suite 906  
11300 Rockville Pike  
Rockville, MD 20852

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2408.
  - \$2088 for 116 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3248.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/17/2001 HBERHE 00000043 09713057

01 FC:210  
02 FC:201  
03 FC:205  
04 FC:203  
05 FC:202

720.00 23  
370.00 05  
65.00 05  
1044.00 05  
200.00 05

PART 2 - COPY TO BE RETURNED WITH RESPONSE